



Products: R&S EMI Test Receiver ESU

## Resolving Security Issues When Working with the R&S<sup>®</sup> ESU in Secure Areas

Based upon the user's security requirements, this document describes the Rohde&Schwarz options available to address the user's EMI test receiver needs. It also covers the different memory types and locations where user information can be stored in the EMI test receiver R&S<sup>®</sup> ESU.

For secure environments, it describes an approach to physically remove the user data from the EMI test receiver.



## Contents

1 OVERVIEW .....	2
2 INSTRUMENT MODELS COVERED .....	3
3 BATTERY INFORMATION.....	3
4 TYPES OF MEMORY IN THE R&S® ESU EMI TEST RECEIVER AND THEIR SECURITY CONCERNS .....	3
5 INFORMATION STORAGE IN THE R&S® ESU EMI TEST RECEIVER .....	4
6 INFORMATION SECURITY IN HIGHLY SENSITIVE AREAS.....	5
7 PERFORMING SERVICE, CALIBRATION AND MAINTENANCE ON THE R&S® ESU EMI TEST RECEIVER .....	5
8 PERFORMING FIRMWARE UPDATES AND BACKING-UP USER DATA IN SENSITIVE AREAS.....	6
9 SPECIAL CONSIDERATIONS FOR USB PORTS .....	7
10 ADDITIONAL INFORMATION.....	8

## 1 Overview

In many cases it is imperative that the R&S® ESU EMI test receiver be used in a secured environment. Generally these highly secured environments will not allow any test equipment to leave the area unless it can be proven that no user information will leave with the test equipment. Security concerns can arise when EMI test receivers need to leave a secured area to be calibrated or serviced.

This document describes the types of memory and their usage in the R&S® ESU EMI test receiver. It also addresses methods of ensuring that no user data will leave the secured area should the product be removed for calibration or service needs.

## 2 Instrument Models Covered

### R&S EMI Test Receiver

R&S® ESU
ESU8
ESU26
ESU40

## 3 Battery Information

There are no batteries in the R&S® ESU EMI test receiver other than the one on the CPU board used to power the clock in the chipset.

## 4 Types of Memory in the R&S® ESU EMI Test Receiver and Their Security Concerns

### SDRAM

The R&S® ESU EMI test receiver has 512 MByte of SDRAM on the CPU board. SDRAM is volatile memory and it loses its memory as soon as power is removed. The SDRAM will be unreadable within one minute after the power is removed from the instrument.

**The SDRAM is not a security concern.**

### EEPROM

Each board assembly in the R&S® ESU EMI test receiver has one serial EEPROM device. These devices hold 256 Bytes up to 32 kByte and contain information related to the installed hardware, such as board serial number, options, correction constants, etc. The EEPROM does not hold user data nor can the user access the EEPROM storage.

**The EEPROM is not a security concern.**

### FLASH

The CPU board of the R&S® ESU EMI test receiver has one 512 kByte flash memory device which contains the BIOS. The Flash memory does not hold user data nor can the user access the Flash memory.

**The Flash memory is not a security concern.**

## Removable Compact Flash Memory and Non-removable Hard Drive

The R&S® ESU EMI test receiver can be equipped with either a Hard Drive or a Compact Flash Memory. The Hard Drive and Compact Flash Memory hold user data and are non-volatile. Hence, user data is not erased when power is removed from the instrument.

**The Hard Drive and the removable Compact Flash Memory should be treated differently with respect to security concerns.**

- **The Compact Flash Memory is not a security concern because it can be removed from the instrument and left in the secure area.**
- **The Hard Drive can still pose a security concern because it cannot be removed from the instrument.**

## 5 Information Storage in the R&S® ESU EMI Test Receiver

	SDRAM	EEPROM	FLASH	REMOVABLE COMPACT FLASH MEMORY
<b>DATA</b>	Not a security concern	Not a security concern	Not a security concern	Not a security Concern
Temporary Information storage for the functionment of the CPU (CPU Cache, and Swap area)	<b>X</b>			<b>X</b>
Hardware Info, Serial Number Product Options and Calibration Correction Constants		<b>X</b>		
BIOS			<b>X</b>	
Operating System and Instrument Firmware				<b>X</b>
Instrument states, setups, Limit Lines and Transducer tables				<b>X</b>
Trace data, Measurement Results and Screen Images				<b>X</b>

## 6 Information Security in Highly Sensitive Areas

Since the SDRAM is erased when power is removed from the EMI test receiver it does not pose a security risk. No user data is written to the EEPROM and FLASH memories; hence, it is deemed that they do not pose a risk either.

The REMOVABLE COMPACT FLASH MEMORY is the only device that does not lose its memory when power is removed and can contain user data. It can be removed from the EMI test receiver leaving the customer assured that no user data is stored within the EMI test receiver. The R&S® ESU EMI test receiver can be equipped with this option.

**The R&S® ESU EMI test receiver equipped with the REMOVABLE COMPACT FLASH MEMORY (Option ESU-B18) address the needs of customers working in highly sensitive areas.**

## 7 Performing Service, Calibration and Maintenance on the R&S® ESU EMI Test Receiver

### **R&S® ESU EMI Test Receiver equipped with the REMOVABLE COMPACT FLASH MEMORY**

Turn-off the EMI test receiver and remove the **classified** compact flash memory (with the user data). This removes all user data from the EMI test receiver. The EMI test receiver, without the removable compact flash memory, can now leave the secured area. Once the EMI test receiver is outside the secured area, installing a second **non-classified** removable compact flash memory (without any user data), allows the EMI test receiver to function properly for service or other needs.

Prior to re-entering the secured area, the **non-classified** removable compact flash memory (without the user data), is removed. When the EMI test receiver is back within the secured area, the original **classified** removable compact flash can be reinstalled.

**To hold classified user data in the secure areas, Rohde & Schwarz recommends the REMOVABLE COMPACT FLASH MEMORY Option ESU-B18.**

**To hold non-classified user data in the non-secure areas, Rohde & Schwarz recommends the REMOVABLE COMPACT FLASH MEMORY Option ESU-B19.**

### **Calibration and the validity of the EMI test receiver's calibration after exchange of the REMOVABLE COMPACT FLASH MEMORY**

The calibration ensures a user that their measurements are traceable to a government standard. Rohde & Schwarz highly recommends that users follow the calibration cycle suggested for their instrument.

The EEPROM is the only location used to hold permanent adjustment values required to maintain the validity of the EMI test receiver's calibration. Hence, replacing one removable compact flash memory with another, does not affect the validity of the instrument's calibration.

After an exchange of the removable compact flash memory, the board assembly EEPROMs need to be registered. This operation has to be executed once:

- Turn the instrument Off
- Turn the instrument back On while holding down the decimal point key [.] on the number keypad on the front panel
- Continue to hold this key for 10 seconds after pressing the "On" button
- The instrument will now recognize the changes and then power up

It is only necessary to perform these steps the first time the analyzer returns from the service.

After an exchange of the removable compact flash memory, the self-alignment function has to be executed once. This is done with the CAL - CAL TOTAL function. This function uses the high-stability internal reference generator to produce the temporary adjustment values. Using the permanent and temporary values, the necessary adjustment information is then stored on the compact flash memory. Rohde & Schwarz recommends that users perform the self-alignment function on a weekly basis after the analyzer has had sufficient time to warm-up.

## **8 Performing Firmware Updates and Backing-Up User Data in Sensitive Areas**

Rohde & Schwarz highly recommends, but does not require, the users of its products, to maintain their products with the latest updates and to regularly back-up important user data that can be erased. Firmware updates are available from the R&S website. How does a user perform firmware updates and back-up user data in sensitive areas? There are several options available for the user to safely perform these operations without compromising the security of the sensitive areas.

### **Via the USB port**

Rohde & Schwarz EMI test receivers are equipped with USB ports as standard equipment. The instrument firmware update can be performed directly from the USB stick. The USB stick can likewise hold or transport user data back-ups to an approved storage medium. As described below, users can disable the capability of the USB ports for saving data (set to "read only"). For users that have not elected to disable the USB ports for writing data a memory stick can be used for backing-up user data.

### **Via the LAN interface**

The R&S® ESU EMI test receiver is equipped with a LAN interface as standard equipment. A user can transport the firmware update into the secure area via a CD or another medium that meets the security requirements. The update can then be placed on a system on the LAN within the secure area. The EMI test receiver can be updated directly from the LAN. The LAN can likewise be used to back-up user data to an approved storage medium.

## **9 Special Considerations for USB ports**

USB ports can pose a security threat in high-security locations. Generally, this threat comes from small USB pen drives (a.k.a. memory sticks, key drives, etc) which can be very easily concealed, yet can quickly read/write several GBytes of data.

### **Disable USB Ports for Writing User Data**

The R&S® ESU EMI test receiver can be updated with an utility to disable the write capability on any USB Port for storage devices. This utility is available from Rohde & Schwarz w/o any charge. To disable the write capability copy the utility software to the EMI test receiver and run it once. After reboot of the instrument the write capability on any USB memory device is disabled.

To avoid the possibility that the USB port could be inadvertently un-blocked, should the need for the USB port write capabilities arise, only approved R&S maintenance centers can un-block the USB port.

## Additional Information

Please contact your support center for comments and further suggestions:

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